

# Cognitive Impairment of Chronic Alcohol Dependence and Its Relationship with Prefrontal Cortex

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## ABSTRACT

**Aims:** To review the progress of cognitive impairment of chronic alcohol dependence and its relationship with prefrontal cortex (PFC)

**Methods:** A literature review of via Pubmed searches to assess the current state of the field.

**Results:** Cognitive impairment, particularly executive dysfunction, is a very important characteristic of alcohol dependence. The executive function is one of the most important frontal lobe activities. Chronic alcohol exposure can change the PFC morphological structure and integration function and the resulting defects can be observed in cognitive function. The PFC function mainly manifests the lack of executive function. Changes in PFC structure and functions can result in disordered regulation of neurobiological activities.

**Conclusions:** The importance of the long term change of PFC executive function and associated neuron work is equal to or greater than that of the dopamine reward system when talking about mechanism underlying cognitive impairment.

**Key Words:** Chronic Alcohol Dependence; Cognitive Function; Prefrontal Cortex; GABA-A $\alpha$ C subunit

Dependence on alcohol dependence (DA) results in a chronic and recurrent encephalopathy with an extremely high recurrence rate. It is a mental disorder clinically characterized by forced drinking behavior, increased tolerance to alcohol, withdrawal symptoms upon cessation, and is accompanied by significant cognitive impairment and social and occupational dysfunction. World Health Organization (WHO)



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studies have shown that there were approximately 140 million DA patients in the world. More than 400 million suffered accidents, injuries, diseases or death as a result of excessive alcohol consumption<sup>[1]</sup>. Accompanying the rapid economic development of China, the number of DA patients has significantly increased in recent years, and alcohol consumption has also grown rapidly. Alcohol consumption per capita has increased from 2.5 L per year in 1978 to 6.7 L per year in 2010. It is estimated that per capita consumption exceeds 10 L in 2014 - 2015<sup>[2]</sup> indicates an increasingly serious public health problem resulting from alcohol consumption.

## 1 ALCOHOL DEPENDENCE AND COGNITIVE IMPAIRMENT

Cognitive function is an important part of higher cortical function, including all aspects of the brain's mental and intellectual activities, such as, perception, memory, speech, and abstract thinking. Cognitive deficits such as high-level cortical memory dysfunction in memory, attention, and intelligence, shows that brain dysfunction reduces an individual's ability to understand the external world. Ihara, *et al.* have classify cognitive impairment into three types: 1) separate executive dysfunction, without memory and overall cognitive impairment; 2) mild executive dysfunction syndrome associated with memory impairment, but with preserved overall cognitive function; 3) overall cognitive impairment, including executive function, memory and overall cognitive impairment. Executive impairment syndrome can affect many psychological processes, including working memory, mental flexibility, distributive attention, decision-making and problem-solving skills<sup>[3]</sup>.

Cognitive impairment is a very important characteristic of drug dependence. Studies show that a long-term use of alcohol, heroin, methamphetamine and other addictive substances can cause more serious cognitive impairment. It is generally believed that the long-term, repeated use of addictive substances can cause lasting changes in brain structure and damage the local function of structures such as cerebral cortex, hippocampus and cerebellum. Structural changes include not only cortical and white matter atrophy and ventricular dilatation caused by loss of neurons, but also changes in neurotransmitter, number of receptors and sensitivity in dendrites and synapses. The latter may be the biochemical basis of brain function change and cognitive impairment.

The GABA-A neuron is an inhibitory neuron which plays an important role in adjusting neural circuits and cognitive function. The GABA-A receptor

consists of 8 subunit clusters and 19 subunits, including:  $\alpha 1$ - $\alpha 6$ ;  $\beta 1$ - $\beta 3$ ;  $\gamma 1$ - $\gamma 3$ ;  $\delta$ ;  $\theta$ ;  $\epsilon$ ;  $\rho 1$ - $\rho 3$ ; and  $\pi$  Different subunits have different functions. It was generally believed that the  $\alpha 1$  subunit mediated sedative effects, and that the  $\alpha 2$  subunit mediated the anxiolytic effect.  $\alpha 5$  might play a significant role in aspects of cognitive function<sup>[4]</sup>.

Studies show that neuronal synapse plasticity changes and pathologic learning and memory formation are important biological mechanisms of cognitive function changes. This process involves the synaptic transport of persistence and functional dependence. Long-term and repeated alcohol use as a central nervous system inhibitor changes the transport process of synaptic multi-signaling molecules. Glutamate, GABA, and other receptor activation leads directly to changes in other neurotransmitters and ion channels causing LTP and LTD changes, and finally results in synapse plasticity change. This may be one of the most important biological mechanisms by which alcohol induces cognitive impairment. Other studies also suggest that central nervous system cognitive impairment results from chronic alcohol exposure and depends on the common regulation of GABA/Glu. Alcohol's repeated activation of GABA-A receptors, in and out of synapse, has inhibited the effect of NMDA receptors and mGluRs and AMPA receptors and leads to cognitive function changes<sup>[5]</sup>.

The GABA-A $\alpha 5$  subunit is closely related to cognitive impairment. The GABA-A $\alpha 5$  receptor is at the base of neuronal dendritic crest and receives excitatory input. Studies prove that, the activation of GABA-A $\alpha 5$  receptor of the synapse in the hippocampus ventral CA1 region plays a crucial role in induction of the LTP threshold value. This suggests that GABA-A $\alpha 5$  has a unique effect in the hippocampus. Decrease of GABA-A $\alpha 5$  receptor expression in the corpora striatum results in spatial memory hypomnesia in epileptic rats. In the absence of GABA-A $\alpha 5$ , spatial memory assessed from water maze decreases, and conditional fear associated with learning and memory increases. Other studies show that the memory capacity of wild mice treated with a GABA-A $\alpha 5$  selective inverse agonist significantly improved. The GABA-A $\alpha 5$  selective inverse agonist may become a new target in cognitive function treatment<sup>[6]</sup>. The direct evidence that GABA-A $\alpha 5$ 's regulates short-term memory and spatial memory is that some inverse agonists of GABA-A $\alpha 5$  receptors have improved wild rat water maze test results<sup>[7]</sup>. NMDA receptors and GABA-A $\alpha 5$  receptors play a complementary role in regulating hippocampal cells signal transduction<sup>[8]</sup>.

There are a few studies of the effects of GABA-A $\alpha 5$  on addictive behaviors. Studies show that

PFC GABA-A $\alpha$ 5 mRNA expression in Wistar rats is positively correlated with alcohol consumption ( $r = 0.96$ )<sup>[9]</sup>. Ethanol-induced LTP inhibited by GABA-A $\alpha$ 5 is involved with emotion-related learning and memory impairment. Other studies suggest that prenatal chronic alcohol exposure increases GABA-A $\alpha$ 5 expression and leads to damage to learning skills. This suggests that alcohol-induced learning and memory impairment may result from changes in NMDA and GABA expressions and functions<sup>[10]</sup>. This also suggests that GABA-A $\alpha$ 5 plays a significant important role in regulating any cognitive impairment resulting from DA.

## 2 CHRONIC ALCOHOL DEPENDENCE COGNITIVE IMPAIRMENT AND ITS RELATIONSHIP WITH PREFRONTAL CORTEX

A significant cognitive impairment exists in patients with chronic alcohol dependence (mainly executive dysfunction), while the executive function is one of the most important frontal lobe functions. Several studies show that significant structural and functional defects exist in prefrontal cortex (PFC) of patient with chronic Alzheimer's Disease (AD).

### 2.1 Anatomy and Function of Prefrontal Cortex

Studies over the past decade show that prefrontal cortex change induced by alcohol, and other addictive substances, plays a key role<sup>[3]</sup>. As shown in the Fig. 1<sup>[11]</sup>, the prefrontal cortex, also called the frontal polar area, is located in front of motor area (Area 4) and that the pre-motor areas (Areas 6 and 8), is nearly coterminous to the entire frontal lobe. This includes most of the three gyrus frontalis (Areas 9, 10, 11, 46, and 47), Areas 8, 44, and 45 of facies lateralis and Areas 12, 13, 24, 25, 32, and 33 of facies medialis.

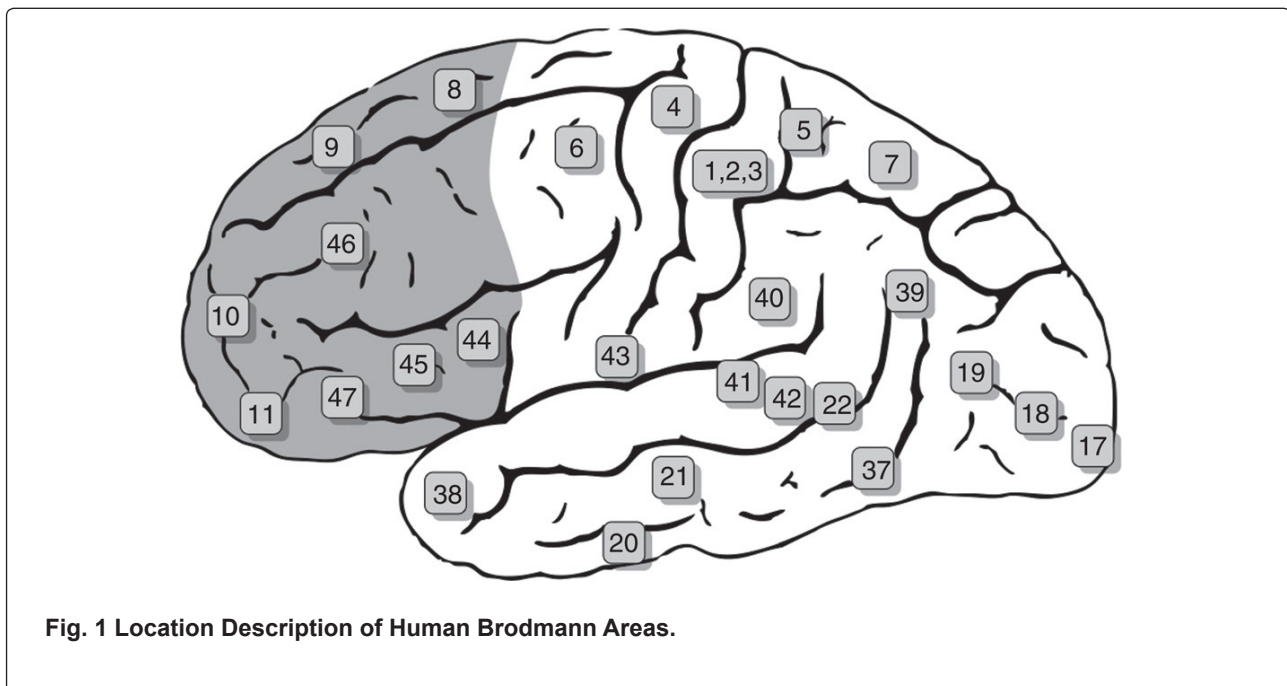


Fig. 1 Location Description of Human Brodmann Areas.

The frontal cortex belongs to an association cortex, which connects the cortex of different sensory areas and motor areas with sufficient afferent and efferent fibers. Its main function is to integrate cerebral cortex and subcortical structure information and use it to complete a response so as to adapt to current and future environmental changes. In humans, the frontal lobe integrates a variety of information, actively, purposefully, and designedly to

adapt to environmental change<sup>[12]</sup>.

The executive function is a frontal function which includes attention, planning, decision-making, and how to organize these in an orderly manner. The executive function consists of two dynamic interactional prefrontal cortices: 1) "executive" network located in dorsolateral cortex (central part of rodent's PFC); 2) "limbic" network located in orbital frontal lobe.

These networks mediate higher command behaviors, such as, purposeful direct behavior, language, and reasoning. They perform a series of functions to properly control these goal-oriented behaviors<sup>[13]</sup>. These behaviors include an executive function that 1) predicts the current situation; 2) directly selects stimuli associated with the current situation; 3) eliminates unrelated stimuli interference; 4) presents any associated past memory; 5) plans behavior order as per past memories and current associated stimulus; and 6) encodes execution steps<sup>[14]</sup>. This suggests that the execution function is one of the most important functions of prefrontal cortex.

## 2.2 Relationship between Cognitive Impairment of Chronic Alcohol Dependence and Prefrontal Cortex

Studies show that chronic alcohol exposure can change PFC morphological structure and integration functions with the defects observable in cognitive function<sup>[15]</sup>. During a detoxification period of DA patients, PFC white matter N-acetylated aspartate levels decreased, indicating PFC white matter atrophy during the withdrawal period. Chronic DA Patient frontal lobe white-matter volume was particularly vulnerable to reduction and right orbital frontal cortex white matter integration functions were significantly impaired<sup>[16]</sup>. Studies show that the number of neocortical cells did not significantly decrease even if there was a significant decrease of all cortical volumes. This suggests cell body and axon atrophy or dendrite degeneration rather than cell death. This finding is consistent with studies of the frontal cortex cingulate gyrus. Other studies show that DA patient ventricular volume decreased 6-9 months after withdrawal and rehabilitation. But PFC volume did not increase, and the density and size of PFC glial cells in dorsolateral and orbital frontal lobes changed. It is unclear whether these changes are reversible.

PFC function mainly manifests a lack of executive function. Attentional and execution ability dysfunction in long-term DA patients is greater than that in cocaine-dependent patients<sup>[17]</sup>. Visual and memory impairment was not found in a large number of DA adolescents. It is suggested that this may be associated with the compensatory action of the right PFC. In low cognitive level tasks, PFC glucose metabolism levels in DA patients did not change significantly, however, glucose metabolism levels in medial frontal and dorsolateral PFC significantly decreased for highly-commanded cognitive function tasks. Glucose metabolism decreases in the middle frontal cortex was observed and Wisconsin Card

Sorting Test results significantly decreased in DA patients<sup>[18]</sup>. Frontal cortex glucose metabolism significantly increased during withdrawal, indicating that some behaviors in certain cases may result in glucose metabolism inversion<sup>[19]</sup>.

A significant neurobiology regulation disorder occurs in the regulation with the PFC structure and function changes. Studies show that the prefrontal cortex plays an important role in increasing alcohol sensitivity during the process of developing into AD<sup>[20]</sup>. Studies on functional genes and proteomics show that, compared with a control group, DA patient gene expression and protein levels changed significantly, and the PFC-encoded mitochondrial protein gene significantly down-regulated. This is associated with an increase of repair proteins activated by oxidative damage. It may be a response to mitochondrial dysfunction<sup>[21]</sup>. Transcription factors and DNA-encoded binding protein gene expression, protein transport, and cell apoptosis factors, also changed in specific regions. An autopsy report of prefrontal cortex in DA patients showed that, compared to a control group, transcription factor NF-kappaB (transcription of suppressor genes) and its 50 subunits also down-regulated, and a synapse plasticity-related gene expression increase might play an important role in the maintenance and development process of DA<sup>[22]</sup>.

The importance of the function of prefrontal cortex in addictive behaviors cannot be overstated. Some scholars argue that, for long-term change of PFC executive functions and changes to its associated neuron network, its importance is equal to, or greater than DA reward system changes<sup>[3]</sup>.

## CONFLICT OF INTEREST STATEMENT

The authors declare no conflicts of interest. Each author has substantially contributed to data acquisition and analysis. Zhihuo Liu provided the editing services.

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